

FORM NL-12 & 12A -INVESTMENT SCHEDULE

	Particulars	NL -12		NL -12A		(Amount in Rs. Lakhs)	
		Shareholders		Policyholders		Total	
		As at 30th June ,2022	As At 30th June ,2021	As at 30th June,2022	As At 30th June ,2021	As at 30th June,2022	As At 30th June ,2021
	LONG TERM INVESTMENTS						
1	Government securities and Government guaranteed bonds including Treasury Bills	29,043	11,775	1,08,788	1,10,921	1,37,831	1,22,695
2	Other Approved Securities	634	131	2,374	1,237	3,008	1,368
3	Other Investments	-	-	-	-	-	-
	(a) Shares	-	-	-	-	-	-
	(aa) Equity	1,051	-	3,938	-	4,989	-
	(bb) Preference	-	-	-	-	-	-
	(b) Mutual Funds	-	-	-	-	-	-
	(c) Derivative Instruments	-	-	-	-	-	-
	(d) Debentures/ Bonds	14,904	5,271	55,827	49,658	70,732	54,929
	(e) Other Securities (to be specified)	-	-	-	-	-	-
	(f) Subsidiaries	-	-	-	-	-	-
	(g) Investment Properties-Real Estate	-	-	-	-	-	-
4	Investments in Infrastructure and Housing	12,263	6,319	45,934	59,531	58,197	65,851
5	Other than Approved Investments Debentures/Bonds/Equity	130	19	487	181	617	200
6	Investments in Alternate Investment Fund	105	47	395	441	500	488
		58,130	23,563	2,17,743	2,21,969	2,75,873	2,45,531
	SHORT TERM INVESTMENTS						
1	Government securities and Government guaranteed bonds including Treasury Bills	4,575	1,614	17,138	15,202	21,713	16,816
2	Other Approved Securities	-	-	-	-	-	-
3	Other Investments	-	-	-	-	-	-
	(a) Shares	-	-	-	-	-	-
	(aa) Equity	-	302	-	2,846	-	3,148
	(bb) Preference	-	-	-	-	-	-
	(b) Mutual Funds	1,110	-	4,156	-	5,266	-
	(c) Derivative Instruments	-	-	-	-	-	-
	(d) Debentures/ Bonds	5,816	4,135	21,786	38,956	27,602	43,092
	(e) Other Securities/bank deposit	730	1,034	2,734	9,736	3,464	10,770
	(f) Subsidiaries	-	-	-	-	-	-
	(g) Investment Properties-Real Estate	-	-	-	-	-	-
4	Investments in Infrastructure and Housing	2,749	1,964	10,296	18,504	13,045	20,468
5	Other than Approved Investments	-	-	-	-	-	-
	(a) Shares : Equity	-	27	-	258	-	285
	(b) Mutual Fund	68	15	256	145	325	160
	(c) Debentures/ Bonds	-	-	-	-	-	-
	TOTAL	15,048	9,092	56,366	85,647	71,414	94,739
	GRNAD TOTAL	73,178	32,655	2,74,109	3,07,616	3,47,288	3,40,270

Notes:

(a) Investments in subsidiary/holding companies, joint ventures and associates shall be separately disclosed, at cost.

- Holding company and subsidiary shall be construed as defined in the Companies Act, 1956 as amended by Company Act 2013:

- Joint Venture is a contractual arrangement whereby two or more parties undertake an economic activity, which is subject to joint control.

- Joint control - is the contractually agreed sharing of power to govern the financial and operating policies of an economic activity to obtain benefits from it.

- Associate - is an enterprise in which the company has significant influence and which is neither a subsidiary nor a joint venture of the company.

-Significant influence (for the purpose of this schedule) - means participation in the financial and operating policy decisions of a company, but not control of those policies. Significant influence may be exercised in several ways, for example, by representation on the board of directors, participation in the policymaking process, material inter-company transactions, interchange of managerial personnel or dependence on technical information. Significant influence may be gained by share ownership, statute or agreement. As regards share ownership, if an investor holds, directly or indirectly through subsidiaries, 20 percent or more of the voting power of the investee, it is presumed that the investor does have significant influence, unless it can be clearly demonstrated that this is not the case. Conversely, if the investor holds, directly or indirectly through subsidiaries, less than 20 percent of the voting power of the investee, it is presumed that the investor does not have significant influence, unless such influence is clearly demonstrated.

- A substantial or majority ownership by another investor does not necessarily preclude an investor from having significant influence.

(b) Aggregate amount of company's investments other than listed equity securities and derivative instruments and also the market value thereof shall be disclosed in the **Annexure A as specified below**.

(c) Investments made out of Catastrophe reserve should be shown separately.

(d) Debt securities will be considered as "held to maturity" securities and will be measured at historical cost subject to amortisation.

(e) Investment Property means a property [land or building or part of a building or both] held to earn rental income or for capital appreciation or for both, rather than for use in services or for administrative purposes.

(f) Investments maturing within twelve months from balance sheet date and investments made with the specific intention to dispose of within twelve months from balance sheet date shall be classified as short-term investments

(g) Investment Regulations, as amended from time to time, to be referred

A) Aggregate value of Investments other than Listed Equity Securities and Derivative Instruments

	Particulars	Shareholders		Policyholders		(Amount in Rs. Lakhs)	
		Shareholders		Policyholders		Total	
		As at 30th June ,2022	As At 30th June ,2021	As at 30th June ,2022	As At 30th June ,2021	As at 30th June ,2022	As At 30th June ,2021
	Long Term Investments--						
	Book Value	56,769	23,593	2,12,998	2,22,251	2,69,768	2,45,843
	market Value	54,736	24,056	2,05,368	2,26,615	2,60,104	2,50,671
	Short Term Investments--						
	Book Value	13,333	7,723	50,027	72,752	63,360	80,475
	market Value	13,088	7,816	49,106	73,629	62,193	81,445